

PROOF THEORY OF ARITHMETIC  
Lecture 3 – A consistency proof

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Ljubljana, Slovenia

9 August 2023



These slides are available at <http://www.anupamdas.com/ess11i23>.

## Recap: the state of play

We want to reduce the consistency of PA to the consistency of a **simple computational** theory, in a **finitistic** manner.

We have formulated PA in **sequent style**,  $\vdash_{\text{PA}}$ , and shown their equivalence finitistically, i.e. in PRA.

Almost every rule of  $\vdash_{\text{PA}}$  has the **subformula property** (up to substitutions): the only **barrier** to proving consistency is the cut rule:

$$\text{cut} \frac{\vdash_{\text{PA}} \Gamma, \varphi \quad \vdash_{\text{PA}} \Gamma, \bar{\varphi}}{\vdash_{\text{PA}} \Gamma, \Gamma'}$$

**NB:** this viewpoint arguably hides the real culprit: induction.

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- 1 A new system: up to  $\omega$ !
- 2 Simulating PA
- 3 Cut-elimination for  $\vdash_d$
- 4 Break: exercises and questions
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We define a judgement  $\vdash_\omega$  just like  $\vdash_{\text{PA}}$  except:

- Instead of id, =,  $\neq$ , Q rules, we simply admit all closed **true (in)equations**:

$$= \frac{}{\vdash_\omega n = n} \quad \neq \frac{}{\vdash_\omega m \neq n} \quad m \neq n$$

- Instead of  $\forall$ , ind rules, we have an  **$\omega$ -branching rule**:

$$\omega \frac{\vdash_\omega \Gamma, \varphi(0) \quad \vdash_\omega \Gamma, \varphi(1) \quad \vdash_\omega \Gamma, \varphi(2) \quad \dots}{\vdash_\omega \Gamma, \forall x \varphi(x)}$$

## Conventions

- $\vdash_\omega$  is a judgement on only **closed sequents**.
- We *identify* closed terms  $t$  with their values  $t^{\mathfrak{N}}$  (which are also numerals).  
**NB:** this is subtle, and important for formalisation; we will revisit it later.

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## The judgement $\vdash_\omega$ , in full

### Definition

The judgement  $\vdash_\omega \Gamma$ , for  $\Gamma$  closed, is defined by:

$$\begin{array}{c} = \frac{}{\vdash_\omega n = n} \quad \neq \frac{}{\vdash_\omega m \neq n} \quad m \neq n \\ \\ \text{w} \frac{\vdash_\omega \Gamma}{\vdash_\omega \Gamma, \Gamma'} \quad \text{cut} \frac{\vdash_\omega \Gamma, \varphi \quad \vdash_\omega \Gamma', \bar{\varphi}}{\vdash_\omega \Gamma, \Gamma'} \\ \\ \vee \frac{\vdash_\omega \Gamma, \varphi_0 \vee \varphi_1, \varphi_i}{\vdash_\omega \Gamma, \varphi_0 \vee \varphi_1} \quad i \in \{0, 1\} \quad \wedge \frac{\vdash_\omega \Gamma, \varphi \quad \vdash_\omega \Gamma, \psi}{\vdash_\omega \Gamma, \varphi \wedge \psi} \\ \\ \exists \frac{\vdash_\omega \Gamma, \exists x \varphi(x), \varphi(n)}{\vdash_\omega \Gamma, \exists x \varphi(x)} \quad \text{w} \frac{\vdash_\omega \Gamma, \varphi(0) \quad \vdash_\omega \Gamma, \varphi(1) \quad \vdash_\omega \Gamma, \varphi(2) \quad \dots}{\vdash_\omega \Gamma, \forall x \varphi(x)} \end{array}$$

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## Example: general identity

Similarly to  $\vdash_{\text{PA}}$ , we can derive general identity:

### Proposition (General identity)

$\vdash_{\omega} \varphi, \bar{\varphi}$

### Proof.

By induction on the structure of  $\varphi$ .

The critical difference to the argument for  $\vdash_{\text{PA}}$  is for a **quantified formula**:

$$\frac{\frac{\exists \frac{\text{IH}}{\vdash_{\omega} \bar{\varphi}(0), \varphi(0)}}{\vdash_{\omega} \bar{\varphi}(0), \exists x \varphi(x)} \quad \exists \frac{\text{IH}}{\vdash_{\omega} \bar{\varphi}(1), \varphi(1)}}{\vdash_{\omega} \bar{\varphi}(1), \exists x \varphi(x)} \quad \dots}{\vdash_{\omega} \forall x \bar{\varphi}(x), \exists x \varphi(x)}$$

□

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## Example: consistency

Write  $\text{Prf}(x, y)$  for a formula stating “ $x$  codes a PA-proof of the formula coded by  $y$ ”.

It is not hard to see that:

**Proposition** ( $\vdash_{\omega}$  *partial* consistency of PA)

For each  $n \in \mathbb{N}$ , we have  $\vdash_{\omega} \neg \text{Prf}(n, \ulcorner \perp \urcorner)$ .

I.e. no *actual* proof concludes  $\perp$  (of course, **assuming PA is consistent**).

However from here we immediately have from the  $\omega$  rule:

**Corollary** ( $\vdash_{\omega}$  consistency of PA)

$\vdash_{\omega} \forall x \neg \text{Prf}(x, \ulcorner \perp \urcorner)$ .

**NB:** there was **nothing special** about PA! We could have started from PA2, ZFC,...

## Beware of god-mode

$\vdash_\omega$  is not effectively presented, and so Gödel incompleteness does not apply.

Indeed we have achieved **extreme power**:

### Proposition (Completeness)

If  $\mathfrak{N} \models \varphi$  then  $\vdash_\omega \varphi$ .

**NB:** this argument does not even need cuts!

### Proof idea.

By induction on the structure of  $\varphi$ . □

Just to be clear, we have a **sanity check**:

### Proposition (Soundness)

If  $\vdash_\omega \Gamma$  then  $\mathfrak{N} \models [\Gamma]$ .

### Proof idea.

By induction on  $\vdash_\omega$ . □

**NB:** Obviously, this slide, and the previous one, work under a strong metatheory.

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To organise our overarching induction argument, let us set up some machinery.

### Definition (Degrees)

- The **degree** of a formula  $\varphi$ , written  $\text{deg}(\varphi)$ , is its **number of logical symbols**.
- The degree of a cut step is the degree of its cut formula.
- A  $d$ -cut is a cut of degree  $d$ .

Write  $\vdash_d$  for the restriction of  $\vdash_\omega$  to **only  $< d$ -cuts**.

**NB:** Implicit here is that  $\text{deg}(\varphi) = \text{deg}(\bar{\varphi})$ .

**NB:**  $\vdash_0$  is just **cut-free** provability. Thus we have (in PRA):

### Proposition (Cut-free consistency)

$\not\vdash_0$

## Another reduction

The main result of this section is:

### Theorem

If  $\vdash_{\text{PA}} \varphi$ , for  $\varphi$  closed, then  $\vdash_d \varphi$ , for some  $d < \omega$ .

More concretely, we shall prove the following Lemma:

### Lemma (Simulation)

If  $\vdash_{\text{PA}} \Gamma(\vec{x})$ , with all free variables displayed, then  $\vdash_d \Gamma(\vec{n})$  for all  $\vec{n} \in \mathbb{N}$ , for some  $d < \omega$ .

### Proof.

By induction on  $\vdash_{\text{PA}}$ . The cases are given over the next few slides.

**NB:** we will typically **suppress** the substitution of numerals  $\vec{n}$  for free variables  $\vec{x}$ .



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## Simulation of a cut

$$\text{cut} \frac{\frac{\vdash_{\text{PA}} \Gamma, \varphi \quad \vdash_{\text{PA}} \Gamma', \bar{\varphi}}{\vdash_{\text{PA}} \Gamma, \Gamma'}}{\vdash_{\text{PA}} \Gamma, \Gamma'}}{\vdash_e \Gamma, \Gamma'}$$

$\rightsquigarrow$

$$\text{cut} \frac{\frac{\text{IH} \quad \text{IH}}{\vdash_d \Gamma, \varphi} \quad \frac{\text{IH}}{\vdash_d \Gamma', \bar{\varphi}}}{\vdash_e \Gamma, \Gamma'}}$$

where  $\text{deg}(\varphi) = d'$  and  $e := \max(d, d')$ .

## Simulation of quantifier rules

$$\exists \frac{\vdash_{\text{PA}} \Gamma, \exists x \varphi(x), \varphi(t)}{\vdash_{\text{PA}} \Gamma, \exists x \varphi(x)} \rightsquigarrow \exists \frac{\text{IH} \triangle \vdash_{\bar{d}} \Gamma, \exists x \varphi(x), \varphi(t^{\mathfrak{n}})}{\vdash_{\bar{d}} \Gamma, \exists x \varphi(x)}$$

$$\forall \frac{\vdash_{\text{PA}} \Gamma, \varphi(y)}{\vdash_{\text{PA}} \Gamma, \forall x \varphi(x)} \rightsquigarrow \forall \frac{\text{IH} \triangle \vdash_{\bar{d}} \Gamma, \varphi(0) \quad \text{IH} \triangle \vdash_{\bar{d}} \Gamma, \varphi(1) \quad \text{IH} \triangle \vdash_{\bar{d}} \Gamma, \varphi(2) \quad \dots}{\vdash_{\bar{d}} \Gamma, \forall x \varphi(x)}$$

**NB:** notice here the utility of identifying closed terms with their values. This will facilitate the corresponding ‘cut-reduction’.

## Simulation of induction

$$\text{ind} \frac{\vdash_{\text{PA}} \Gamma, \varphi(0) \quad \vdash_{\text{PA}} \Gamma, \bar{\varphi}(y), \varphi(\text{sy})}{\vdash_{\text{PA}} \Gamma, \varphi(t)}$$

Write  $d' := \deg(\varphi(x))$ , and set  $e := \max(d, d')$ .

We show that  $\vdash_e \Gamma, \varphi(n)$  by (sub)induction on  $n$ :

- $\vdash_d \Gamma, \varphi(0)$  by *IH*, and so  $\vdash_e \Gamma, \varphi(0)$  since  $d \leq e$ .
- For the inductive step we have:

$$\text{cut} \frac{\begin{array}{c} \triangle \\ \text{ih} \\ \vdash_e \Gamma, \varphi(n) \end{array} \quad \begin{array}{c} \triangle \\ \text{IH} \\ \vdash_d \Gamma, \bar{\varphi}(n), \varphi(n+1) \end{array}}{\vdash_e \Gamma, \varphi(n+1)}$$

From here we indeed obtain  $\vdash_e \Gamma, \varphi(t^n)$ , as required.

## Simulation of induction, visually

$$\text{ind} \frac{\vdash_{\text{PA}} \Gamma, \varphi(0) \quad \vdash_{\text{PA}} \Gamma, \bar{\varphi}(y), \varphi(sy)}{\vdash_{\text{PA}} \Gamma, \varphi(t)}$$

$$\begin{array}{c} \text{IH} \quad \text{IH} \\ \text{cut} \frac{\vdash_{\bar{d}} \Gamma, \varphi(0) \quad \vdash_{\bar{d}} \Gamma, \bar{\varphi}(0), \varphi(1)}{\vdash_e \Gamma, \varphi(1)} \\ \text{cut} \frac{\vdots}{\vdash_e \Gamma, \varphi(t^{\mathfrak{n}} - 2)} \quad \text{IH} \\ \text{cut} \frac{\vdash_e \Gamma, \varphi(t^{\mathfrak{n}} - 2) \quad \vdash_{\bar{d}} \Gamma, \bar{\varphi}(t^{\mathfrak{n}} - 2), \varphi(t^{\mathfrak{n}} - 1)}{\vdash_e \Gamma, \varphi(t^{\mathfrak{n}} - 1)} \quad \text{IH} \\ \text{cut} \frac{\vdash_e \Gamma, \varphi(t^{\mathfrak{n}} - 1) \quad \vdash_{\bar{d}} \Gamma, \bar{\varphi}(t^{\mathfrak{n}} - 1), \varphi(t^{\mathfrak{n}})}{\vdash_e \Gamma, \varphi(t^{\mathfrak{n}})} \end{array}$$

$\rightsquigarrow$

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## Setting the scene

Our main result is:

### Theorem (Cut-Elimination)

If  $\vdash_d \Gamma$  then we can derive  $\vdash_0 \Gamma$ , i.e. we can derive  $\Gamma$  in  $\vdash_\omega$  without using the cut rule.

By the **subformula property** of the non-cut rules, it is immediate that:

### Corollary (Consistency of $\vdash_d$ )

$\not\vdash_d$ , for all  $d < \omega$ .

Since we have reduced  $\vdash_{\text{PA}}$  to  $\bigcup \{ \vdash_d \}_{d < \omega}$ , and also  $\text{PA} \vdash$  to  $\vdash_{\text{PA}}$ , we thus have:

### Corollary (Consistency of PA)

PA is consistent.

**NB:** assuming *Cut-Elimination*, the corollaries are obtained **finitistically**, i.e. in PRA.

First, let us see some **cut-reduction cases**...

## Cut-reduction cases: commutative

$$\frac{\frac{\{ \vdash_{\bar{d}} \Gamma_i, \varphi \}_{i < \iota}}{\text{cut} \frac{\vdash_{\bar{d}} \Gamma, \varphi \quad \vdash_{\bar{d}} \Gamma', \bar{\varphi}}{\vdash_{\bar{d}} \Gamma, \Gamma'}}}{\vdash_{\bar{d}} \Gamma, \Gamma'}}{\text{cut} \frac{\vdash_{\bar{d}} \Gamma_i, \varphi \quad \vdash_{\bar{d}} \Gamma', \bar{\varphi}}{\vdash_{\bar{d}} \Gamma_i, \Gamma'}}_{i < \iota}}{\vdash_{\bar{d}} \Gamma, \Gamma'}}$$

for an  $\iota$ -ary inference step  $r$ , with  $\iota \in \{0, 1, 2, \omega\}$ .



## Cut-reduction case: quantifiers

$$\begin{array}{c}
 \exists \frac{\vdash_{\bar{d}} \Gamma', \exists x \varphi(x), \varphi(k)}{\vdash_{\bar{d}} \Gamma', \exists x \varphi(x)} \quad \omega \frac{\{\vdash_{\bar{d}} \Gamma, \bar{\varphi}(n)\}_{n < \omega}}{\vdash_{\bar{d}} \Gamma, \forall x \bar{\varphi}(x)} \\
 \text{cut} \frac{}{\vdash_{\bar{d}} \Gamma, \Gamma'}
 \end{array}$$

$$\rightsquigarrow \text{cut} \frac{\vdash_{\bar{d}} \Gamma', \exists x \varphi(x), \varphi(k) \quad \omega \frac{\{\vdash_{\bar{d}} \Gamma, \bar{\varphi}(n)\}_{n < \omega}}{\vdash_{\bar{d}} \Gamma, \forall x \bar{\varphi}(x)}}{\vdash_{\bar{d}} \Gamma, \Gamma', \varphi(k)} \quad \text{< d-cut} \frac{}{\vdash_{\bar{d}} \Gamma, \Gamma'} \quad \vdash_{\bar{d}} \Gamma, \bar{\varphi}(k)$$

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## Cut-elimination: the big idea, visually

### Lemma ( $d$ -cut-admissibility)

$\vdash_d$  is *closed* under  $d$ -cut.

*I.e., when  $\deg(\varphi) = d$ , if  $\vdash_d \Gamma, \varphi$  and  $\vdash_d \Gamma', \bar{\varphi}$ , then  $\vdash_d \Gamma, \Gamma'$ .*

### Proof.

By induction on  $\vdash_d \Gamma, \varphi$  and  $\vdash_d \Gamma', \bar{\varphi}$ .



## Reducing cut-degrees

### Lemma (Degree Reduction)

$$\vdash_{\bar{d}+1} \subseteq \vdash_{\bar{d}}.$$

I.e. if  $\vdash_{\bar{d}+1} \Gamma$  then  $\vdash_{\bar{d}} \Gamma$ .

#### Proof.

By induction on  $\vdash_{\bar{d}+1}$ . For the inductive step:

- By IH assume any premisses are already derivable by  $\vdash_{\bar{d}}$ .
- Now apply  $d$ -cut-admissibility. □

We can now complete the proof of cut-elimination:

### Theorem (Cut-Elimination for $\vdash_{\bar{d}}$ , restated)

If  $\vdash_{\omega} \Gamma$  then  $\vdash_0 \Gamma$ .

#### Proof.

We show  $\vdash_{\bar{d}} \subseteq \vdash_0$  by induction on  $d < \omega$ , appealing to *Degree Reduction* at each inductive step. □

As mentioned before, this implies consistency of PA.

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## Exercises

- 1 Show, by induction on  $\vdash_{\text{PA}}$ , that witness term  $t$  in the premiss of the  $\exists$  rule can be restricted to contain only the variables occurring free in the lower sequent, without loss of provability.
- 2 Give a  $\text{PA}_\omega$  proof of the *Drinkers Paradox*, i.e. show  $\vdash_\omega \exists x (\varphi(x) \rightarrow \forall y \varphi(y))$ .
- 3
  - For the completeness argument for  $\vdash_\omega$ , write down the case for an existential formula and a disjunction.
  - What can you conclude about the size of sequents required to carry out the completeness argument?
  - **(Subtle.)** Why might we not want to impose such a restriction for the *Simulation Theorem*, embedding  $\vdash_{\text{PA}}$  into  $\vdash_\omega$ ?
- 4 **(Hard.)** What do you think is the *closure ordinal* of, say,  $\vdash_0$  (if you know what that is)?
- 5 For the simulation of  $\vdash_{\text{PA}}$  by  $\vdash_\omega$ , write down the cases of the missing rules  $\text{id}$ ,  $=$ ,  $\neq$  and the ones for  $\mathbb{Q}$ .
- 6
  - What are the cut-reduction cases for  $=$ - $\neq$ ?
  - Write down the  $\vee$ - $\wedge$  cut-reduction case.

## Answers to exercises I

- 1 A straightforward induction on  $\vdash_{\text{PA}}$  yields that, if  $\vdash_{\text{PA}} \Gamma(\vec{y})$ , for some free variables  $\vec{y}$  indicated, then also  $\vdash_{\text{PA}} \Gamma(\vec{n})$ , for any  $\vec{n} \in \mathbb{N}$ . From here the statement follows again by induction on  $\vdash_{\text{PA}}$ , replacing any extraneous free variables in  $t$  under the first property.

2

$$\begin{array}{c}
 \frac{\frac{\frac{\text{id}}{\varphi(0) \vdash_{\omega} \varphi(0)}{\exists \frac{\frac{\frac{\text{id}}{\varphi(1) \vdash_{\omega} \varphi(1)}}{\vdash_{\omega} \varphi(1), \varphi(1) \rightarrow \forall y \varphi(y)}}{\vdash_{\omega} \varphi(1), \exists x (\varphi(x) \rightarrow \forall y \varphi(y))}}{\exists \frac{\frac{\frac{\text{id}}{\varphi(2) \vdash_{\omega} \varphi(2)}}{\vdash_{\omega} \varphi(2), \varphi(2) \rightarrow \forall y \varphi(y)}}{\vdash_{\omega} \varphi(2), \exists x (\varphi(x) \rightarrow \forall y \varphi(y))}}{\dots}}{\vdash_{\omega} \forall y, \varphi(y), \exists x (\varphi(x) \rightarrow \forall y \varphi(y))}}{\vdash_{\omega} \varphi(0) \rightarrow \forall y \varphi(y), \exists x (\varphi(x) \rightarrow \forall y \varphi(y))}}{\vdash_{\omega} \exists x (\varphi(x) \rightarrow \forall y \varphi(y))}
 \end{array}$$



## Answers to exercises II

3

$$\frac{\text{IH}}{\frac{\text{w}}{\frac{\text{w}}{\exists} \frac{\vdash_{\omega} \varphi(n)}{\vdash_{\omega} \exists x \varphi(x), \varphi(n)}}{\vdash_{\omega} \exists x \varphi(x)}} \qquad \frac{\text{IH}}{\frac{\text{w}}{\frac{\text{w}}{\vee} \frac{\vdash_{\omega} \varphi_i}{\vdash_{\omega} \varphi_0 \vee \varphi_1, \varphi_i}}{\vdash_{\omega} \varphi_0 \vee \varphi_1}}$$

where appropriate  $n \in \mathbb{N}$  and  $i \in \{0, 1\}$  is given by assumptions  $\mathfrak{N} \models \exists x \varphi(x)$  and  $\mathfrak{N} \models \varphi_0 \vee \varphi_1$ , respectively.

4

- 5 All of these are simulated by the (in)equational initial sequents and weakening, depending on the environment.
- 6
- There are no cut-reduction cases for  $= \neq$ : we cannot have both  $m = n$  and  $m \neq n$ .

## Answers to exercises III



$$\begin{array}{c}
 \vee \frac{\vdash_{\omega} \Gamma, \varphi_0 \vee \varphi_1, \varphi_i}{\vdash_{\omega} \Gamma, \varphi_0 \vee \varphi_1} \quad \wedge \frac{\vdash_{\omega} \Gamma', \bar{\varphi}_0 \quad \vdash_{\omega} \Gamma', \bar{\varphi}_1}{\vdash_{\omega} \Gamma', \bar{\varphi}_0 \wedge \bar{\varphi}_1} \\
 \text{cut} \frac{\quad}{\vdash_{\omega} \Gamma, \Gamma'} \\
 \rightsquigarrow \text{cut} \frac{\vdash_{\omega} \Gamma, \varphi_0 \vee \varphi_1, \varphi_i \quad \wedge \frac{\vdash_{\omega} \Gamma', \bar{\varphi}_0 \quad \vdash_{\omega} \Gamma', \bar{\varphi}_1}{\vdash_{\omega} \Gamma', \bar{\varphi}_0 \wedge \bar{\varphi}_1}}{\vdash_{\omega} \Gamma, \Gamma', \varphi_i} \quad \vdash_{\omega} \Gamma', \bar{\varphi}_i \\
 \text{cut} \frac{\quad}{\vdash_{\omega} \Gamma, \Gamma'}
 \end{array}$$

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## Taming the metatheory via ordinals

The metatheory required to show completeness of  $\vdash_\omega$  for  $\mathfrak{N}$  was **ridiculously strong**.

However, we can tame the metatheory required for the *Simulation Theorem* and the *Cut-Elimination Theorem*, by **refining our inductions** according to explicit order types.

### Definition

$\varepsilon_0$  is the least ordinal  $\alpha$  such that  $\omega^\alpha = \alpha$ .

### Some observations

- $\varepsilon_0 = \sup\{\omega, \omega^\omega, \omega^{\omega^\omega}, \dots\} = \inf\{\alpha : \omega^\alpha \leq \alpha\}$  is a **limit ordinal**.
- Thus  $\varepsilon_0$  is countable, and even **recursive**.
- We can naturally represent and compare ordinals  $< \varepsilon_0$  in arithmetic. (More on this tomorrow).

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## Height of judgements

The **height** of a well-founded tree  $T$  is defined by induction on  $T$  as follows:

- If  $T$  has immediate subtrees  $\{T_i\}_{i \in I}$ , then  $\text{ht}(T) := \sup_{i \in I} (\text{ht}(T_i) + 1)$ .

So let us write  $\vdash_d^\alpha \Gamma$  if there is a derivation of  $\vdash_d \Gamma$  of height  $\leq \alpha$ .

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# The judgements $\vdash_d^\alpha$ , in full

## Definition

The judgements  $\vdash_d^\alpha \Gamma$ , for  $d < \omega$  and  $\alpha$  an ordinal, are defined by:

$$= \frac{}{\vdash_0 n = n} \quad \neq \frac{}{\vdash_0 m \neq n} \quad m \neq n$$

$$\text{w} \frac{\vdash_d^\beta \Gamma}{\vdash_d^\alpha \Gamma, \Gamma'} \beta < \alpha \quad \text{< } d\text{-cut} \frac{\vdash_d^\beta \Gamma, \varphi \quad \vdash_d^\gamma \Gamma', \bar{\varphi}}{\vdash_d^\alpha \Gamma, \Gamma'} \beta, \gamma < \alpha$$

$$\vee \frac{\vdash_d^\beta \Gamma, \varphi_0 \vee \varphi_1, \varphi_i}{\vdash_d^\alpha \Gamma, \varphi_0 \vee \varphi_1} \beta < \alpha \quad \wedge \frac{\vdash_d^\beta \Gamma, \varphi \quad \vdash_d^\gamma \Gamma, \psi}{\vdash_d^\alpha \Gamma, \varphi \wedge \psi} \beta, \gamma < \alpha$$

$$\exists \frac{\vdash_d^\beta \Gamma, \exists x \varphi(x), \varphi(n)}{\vdash_d^\alpha \Gamma, \exists x \varphi(x)} \beta < \alpha \quad \omega \frac{\vdash_d^{\beta_0} \Gamma, \varphi(0) \quad \vdash_d^{\beta_1} \Gamma, \varphi(1) \quad \vdash_d^{\beta_2} \Gamma, \varphi(2) \quad \dots}{\vdash_d^\alpha \Gamma, \forall x \varphi(x)} \beta_i < \alpha$$



## Refining our arguments by height

### Theorem (Simulation, refined)

If  $\vdash_{\text{PA}} \varphi$ , for  $\varphi$  closed, then  $\vdash_d^\alpha \varphi$  for some  $d < \omega$  and  $\alpha < \omega^2$ .

**NB:** for formalisation in PRA, we are implicitly using an **evaluator** for  $\mathcal{L}_A$ -terms.

### Lemma ( $d$ -cut-admissibility, refined)

Let  $\text{deg}(\varphi) = d$ . If  $\vdash_d^\alpha \Gamma, \varphi$  and  $\vdash_d^\beta \Gamma', \bar{\varphi}$ , then  $\vdash_d^{\alpha \sharp \beta} \Gamma, \Gamma'$ .

**NB:** here  $\sharp$  is the **symmetric sum** (or **natural sum**) of ordinals.

### Lemma (Degree reduction)

If  $\vdash_{d+1}^\alpha \Gamma$  then  $\vdash_d^{\omega^\alpha} \Gamma$ .

Putting this all together, we have:

### Theorem (Cut-elimination for PA, refined)

If  $\text{PA} \vdash \varphi$ , then  $\vdash_0^\alpha \varphi$ , for some  $\alpha < \varepsilon_0$ .

### Corollary (Consistency, refined)

PA is consistent, as long as “all  $\alpha < \varepsilon_0$  are well-founded”...

## Some remarks on formalisation

The only parts of the refinements of the previous slide *not* available in PRA are the various **inductions on ordinals**  $< \varepsilon_0$ .

We can fix some appropriate **recursive representation** of ordinals  $\alpha, \beta, \dots < \varepsilon_0$  and their comparison in  $\mathcal{L}_A$  (more on this tomorrow).

Now define  $\varepsilon_0$ -ind for the schema of axioms for each  $\varphi(x)$ :

$$\forall \alpha < \varepsilon_0 (\forall \beta < \alpha \varphi(\beta) \rightarrow \varphi(\alpha)) \rightarrow \forall \alpha < \varepsilon_0 \varphi(\alpha)$$

We can now formalise our entire consistency argument:

### Theorem (Formalised consistency)

PRA +  $\varepsilon_0$ -ind *proves the consistency of* PA.

**NB.** We have **finitistically reduced** the entire consistency of PA to a ‘simple’ computational principle: induction up to  $\varepsilon_0$ .

**NB.** It is immediate that PA **cannot prove well-foundedness** of (any appropriate representation of)  $\varepsilon_0$ .